Prior Information for Rapid Speaker Adaptation

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Abstract
Rapidly adapting a speech recognition system to new speakers using a small amount of adaptation data is important to improve initial user experience. In this paper, a count-smoothing framework for incorporating prior information is extended to allow for the use of different forms of dynamic prior and improve the robustness of transform estimation on small amounts of data. Prior information is obtained from existing rapid adaptation techniques like VTLN and PCMLLR. Results using VTLN as a dynamic prior for CMLLR estimation show that transforms estimated on just one utterance can yield relative gains of 15% and 46% over a baseline gender independent model on two tasks.

Index Terms: automatic speech recognition, speaker adaptation, VTLN, prior knowledge

1. Introduction
In many speech recognition applications, such as telephone dialogue systems and in-car satnavs, rapid adaptation to new speakers is vital as users’ perception of a system can depend heavily on their initial experiences. However, adapting to a new speaker or environment given limited data remains a challenge.

The standard successful linear speaker adaptation techniques such as MLLR [1] and CMLLR [2] rely on sufficient adaptation data being available. Although they can be used with limited data by restricting the number of transform parameters, a robust estimate is not guaranteed. Existing rapid adaptation techniques such as CATT-CMLLR [3] and vocal tract length normalisation (VTLN) [7, 8, 9] for speaker adaptation, and PCMLLR [10, 11] for noise robustness only require a small number of parameters to be estimated. This enables them to perform well on limited data but they saturate quickly with, typically, only small gains seen over the baseline system.

Several authors have proposed using prior information to improve the robustness of adaptation transform estimates. MAP approaches such as MAP linear regression [4] have used prior information in the form of a distribution over transforms to constrain linear transform estimation. In [4], the prior distribution over transforms is estimated from training data. This prior distribution is static, not changing over utterances. Structured MAPLR (SMAPLR) is related and obtains prior information from the test utterances themselves [5, 6]. A regression class tree is used and the prior transform for any node is the transform estimated at its parent node. Thus transforms estimated higher up the tree using more frames are propagated down the tree and used as priors to obtain more robust estimates for transforms at nodes with few observations.

Rapid adaptation techniques such as VTLN and PCMLLR are potential sources of prior information for estimating adaptation transforms. Since the strength of these methods is their ability to adapt quickly to new data the use of such knowledge sources inevitably leads to a dynamic prior, which can change with each utterance. This is in contrast to previous work which has used static prior information. The use of a dynamic prior estimated online should be beneficial as it will be better matched to the target environment.

In [11], a count-smoothing framework is used to combine standard adaptive statistics with dynamic prior information from PCMLLR. This paper builds on that framework and uses rapid adaptation methods as a dynamic prior to obtain more robust estimates of transforms with small amounts of adaptation data.

The paper is arranged as follows. VTLN and PCMLLR for rapid adaptation are discussed in section 2. A count-smoothing framework for incorporating dynamic prior information and two implementations of this framework are presented in section 3. Results obtained using one of the implementations with VTLN as a prior are presented in section 4, before conclusions are drawn in section 5.

2. Rapid Adaptation
This section discusses two forms of rapid adaptation which make use of knowledge about the mismatch due to both speaker variation and noise environment to robustly estimate adaptation transforms.

2.1. Speaker Adaptation - Linear VTLN
In quantised linear vocal tract length normalisation (VTLN) [8, 9] a set of possible linear transforms \( W^{(\alpha)} = [b^{(\alpha)}, A^{(\alpha)}] \) is pre-computed for a discrete number of different vocal tract lengths. These transforms are deterministic and are based on the known effect of vocal tract length on MFCC calculation, where the parameter \( \alpha \) represents the degree of frequency warping. Linear VTLN applies a transform to the feature vectors

\[
p(o_i|m) = \left| A^{(\alpha)} \right| \mathcal{N}(A^{(\alpha)}o_i + b^{(\alpha)}; \mu(m), \Sigma(m))
\]

where component \( m \) has mean and variance \( \mu(m) \) and \( \Sigma(m) \).

VTLN adaptation is rapid as only \( \alpha \) must be estimated, which can be done based on the auxiliary function \( Q(\alpha, \tilde{\alpha}) \). To estimate \( \alpha \) given the current value \( \tilde{\alpha} \)

\[
\alpha = \arg \max_{\hat{\alpha}} \{ Q(\alpha, \tilde{\alpha}) \}
\]

the associated linear transform \( W^{(\alpha)} \) is selected using a brute-force search over the set of pre-computed transforms. The above expression assumes multiple regression classes, indexed using \( r \) and a bias term in the transformation. For VTLN, \( \alpha \) is normally global and there is no bias term, i.e. \( b^{(\alpha)} = 0 \).

The adaptive statistics needed to estimate \( \alpha \), \( C^{(r)} \) and \( k^{(r)} \), are accumulated from adaptation data.
forms are.

where $\gamma_l(m)$ is the posterior probability of component $m$ generating the observation at time $t$ using the current value of the transform $\hat{\alpha}$. Estimates of $\hat{\alpha}$ can be iterated to achieve the maximum likelihood estimate of $\alpha$. Linear VTLN is constrained by the frequency warping function and the discrete values of $\alpha$ chosen to precompute the set of transforms $\{W(k)\}$. Hence, as the amount of adaptation data increases, VTLN does not improve and so its effect is limited.

2.2. Noise robustness - PCMLLR

Model-based noise robustness techniques can be used for rapid adaptation by making use of a noise mismatch function to model the effect of noise on speech. It is assumed that the observation $o_i$ is based on a clean speech observation $x_i$, with mean and covariance matrix $\mu_i(m)$ and $\Sigma_i(m)$, and additive noise $n_i$ with mean and covariance $\mu_n$ and $\Sigma_n$. Convolutional noise is ignored for this discussion. For example, in VTS model-based compensation [12] the final distribution is given by

$$p(o_i|m) = N(o_i; \mu_{vtx}^{(m)}, \Sigma_{vtx}^{(m)})$$

and the corrupted speech mean $\mu_{vtx}^{(m)}$ can be found from

$$\mu_{vtx}^{(m)} = C \log(\exp(C^{-1}\mu_i^{(m)}) + \exp(C^{-1}\mu_n))$$

where $C$ is the DCT. A similar expression can be derived for the noise covariance $\Sigma_{vtx}^{(m)}$. Compensation is rapid as only estimation of the noise distribution parameters, $\mu_n$ and $\Sigma_n$ is required.

It is possible to approximate a compensated acoustic model such as that in equation 6 by a linear transform using the predictive linear transform framework [10, 11]. The predictive transform parameters are obtained by minimising the KL divergence between a CMLLR adapted distribution and a target distribution. The statistics that are used to estimate the predictive transforms are:

$$G_{pri}^{(r)} = \sum_{m \in r} ^{\gamma_l(m)} \frac{\gamma_l(m)}{\sigma_i^{(m)}} \left[ \frac{1}{E(o|m)} - E(o^T|m) \right]$$

$$k_{pri}^{(r)} = \sum_{m \in r} \frac{\gamma_l(m)}{\sigma_i^{(m)}} \left[ E(o|m) \right]$$

where $E(o|m)$ and $E(o^T|m)$ are estimated from the target distribution in equation 6, and component occupancies, $\gamma_l(m)$, are obtained from the training data. Thus

$$E(o|m) = \mu_{vtx}^{(m)}; E(o^T|m) = \Sigma_{vtx}^{(m)} + \mu_{vtx}^{(m)} \mu_{vtx}^{(m)T}$$

PCMLLR uses these predictive statistics to estimate transforms directly from the standard CMLLR formulae. Decoding is then based on

$$p(o_i|m) = |A^{(r,m)}|N(A^{(r,m)}o_i + b^{(r,m)}; \mu_i^{(m)}, \Sigma_i^{(m)})$$

where component $m$ belongs to regression class $r_m$. In the limit, as the number of regression classes increases to the number of components, the same compensation as VTS is possible.

3. Incorporating Prior Knowledge

The methods described in the previous section allow adaptation to new speakers or environments using small amounts of data, but are only approximate. Linear VTLN relies on a limited set of quantised transforms which quickly saturate, while PCMLLR relies on an accurate mismatch function being defined. An alternative is to use these rapid adaptation approaches as prior information for CMLLR transform estimation. This allows fast, robust estimation of adaptation transforms without the limitations of the previous approaches.

A conjugate prior distribution for CMLLR is not possible, so a count-smoothing framework for incorporating prior knowledge is used instead, as in [11]. Statistics for estimating the CMLLR transform, $G_{pri}^{(r)}$ and $k_{pri}^{(r)}$, are based on interpolating adaptive and prior statistics, given by

$$G_i^{(r)} = G_{pri}^{(r)} + \gamma \frac{G_{pri}^{(r)}}{\sum_{m \in r} \gamma_l(m)}$$

$$k_i^{(r)} = k_{pri}^{(r)} + \gamma \frac{k_{pri}^{(r)}}{\sum_{m \in r} \gamma_l(m)}$$

The prior statistics $G_{pri}^{(r)}$ and $k_{pri}^{(r)}$ are normalised so that they effectively contribute $\tau$ frames to the final statistics. For transformation, the total occupancy count for a regression class $\beta^{(r)} = \sum_{m \in r} \sum_{t=1}^T \gamma_l(m) + \tau$. As more data becomes available, the adaptive CMLLR statistics $G_{ad}^{(r)}$ and $k_{ad}^{(r)}$ will dominate, but for small amounts of data the prior statistics are more important.

Under this count-smoothing approach, the prior is not constrained to be static. It may be dynamic, and change across utterances. In this work, appropriate prior statistics are obtained by using the predictive statistics in equations 8 and 9 with a target distribution incorporating prior knowledge. Two frameworks for making use of this approach are discussed below.

3.1. Direct Transform Estimation

For direct transform estimation, decoding is based only on the transform $b^{(r,m)} = A^{(r,m)}o_i + b^{(r,m); \mu_i^{(m)}, \Sigma_i^{(m)}}$ estimated from smoothed statistics

$$p(o_i|m) = N(o_i; A^{(r,m)}o_i + b^{(r,m); \mu_i^{(m)}, \Sigma_i^{(m)}})$$

For this form of model, all statistics for estimating the transform are based on the original feature-space and model parameters.

Appropriate prior statistics depend on the form of prior being used. If noise model compensation is used as a prior then the prior statistics are the same as those in equations 8, 9 and 10. If a linear transform prior is used, such as VTLN, then equation 1 can be rewritten as

$$p(o_i|m) = N(o_i; A^{(r,m)}b^{(r,m)})$$

which can be used as the target distribution to accumulate the prior statistics from equations 8 and 9 where

$$E(o|m) = A^{(r,m)}(\mu_i^{(m)} - b^{(r,m)})$$

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It is not necessary to transform each model component using the prior. Equivalently, statistics that are pre-cached for each regression class can be transformed by the prior. For example the following statistics, based on the original model set, can be cached [13]

$$k_{ad}^{(r)} = \sum_{m \in r} \frac{\gamma_l(m)}{\sigma_i^{(m)}} \left[ 1 - \mu_i^{(m)T} \right]$$
considering only the second term in equation 9, the predictive statistics, $k_{pr}^{(r)}$ can be written as

$$A^{(r)} = \left( \sum_{m \in r} \frac{\gamma^{(m)}}{\sigma_{i}^{2}} \mu^{(m)} \right) - \left( \sum_{m \in r} \frac{\gamma^{(m)} \mu^{(m)}}{\sigma_{i}^{2}} \right) \beta^{(r)}$$

which is equivalent to the cached statistics $k_{ca}^{(r)}$ above transformed by the prior $[B^{(r)} - A^{(r)}]$, and is true for all elements of $k_{pr}^{(r)}$ and $C_{pr}^{(r)}$.

This efficient caching allows a dynamic prior such as VTLN to be used with very little additional computational cost. The adaptive statistics accumulated from adaptation data are used to select the VTLM prior for each utterance. The prior is then used to transform the cached statistics $k_{ca}^{(r)}$ and $C_{ca}^{(r)}$ and obtain the prior statistics. The same adaptive statistics that were used to select the prior are then interpolated with the prior statistics to estimate a new transform.

3.2. Cascades of Transforms

For situations where the prior is more complex than the smoothed transform being estimated, whether in terms of the structure of the transform or number of regression classes, direct estimation of the transform may degrade performance. To address this, the rapidly estimated prior transform can also be used as a parent transform in the count-smoothing framework.

For the case of using a feature space linear transform as parent, such as linearised VTLN, the following decoding expression is used

$$p(o|m) = |A^{(r)}| N(A^{(r)} o + b^{(r)} ; \mu^{(m)} , \Sigma^{(m)})$$

A similar expression can be derived using PCMLLR or any other form of feature transform prior as a parent. Now, both adaptive and prior statistics need to be obtained in the space defined by the parent, $A^{(r)}$ and $b^{(r)}$. The correct adaptive statistics can be obtained by transforming previously accumulated adaptive statistics of equations 4 and 5 in the same fashion as the cached statistics above. Alternatively, adaptive statistics are simply accumulated after the parent transform has been applied.

In the parent transform domain the prior statistics, $k_{pr}^{(r)}$, are simply the predictive statistics in 9 with an identity matrix prior. For the feature space linear transform case this is equivalent to the cached statistics in 17. If the parent transform to be used is a general linear transform applied to the model parameters, the appropriate prior statistics are more complicated. For example, when using VTS as a prior, in place of 19 equation 20 would be based on

$$p(o|m) = |A^{(r)}| N(A^{(r)} o + b^{(r)} ; \mu^{(m)} , \Sigma^{(m)})$$

As both the mean and covariance matrix for each component have changed, the adaptive and prior statistics are also altered. For example the adaptive $k_{ad}^{(r)}$ and prior $k_{pr}^{(r)}$ would be given by

$$k_{ad}^{(r)} = \sum_{m \in r} \frac{\gamma^{(m)}}{\sigma^{2}} \mu^{(m)} \left[ 1 \ o_t^T \right]$$

As for the feature space transform discussed above, the prior statistics in the space of the VTS compensated model set use an identity matrix prior. However, this change to the prior statistics means they cannot be efficiently cached, as is the case when using a feature space transform as prior.

4. Experimental Results

This section presents results obtained using the methods described in section 3. The linear transform version of VTLN in [9] is used as a convenient prior which is expected to yield more information than a static identity transform. VTLM was not used in a cascade, described in section 3.2.3. as it is a weaker transform than the CMLLR transform being estimated, and hence will be subsumed. Future work will look at the interaction of noise robustness techniques such as JUD and VTS with the methods presented above.

4.1. Experimental Setup

Gender independent US English acoustic models were trained using a 29 dimensional MFCC feature vector, with static, delta and delta-delta parameters. A total of 312 hours of data from WSJ, TIDIGITS, TIMT and internally collected noisy data was used for training triphone acoustic models. Decision tree clustering was used to yield 650 unique states. 12 Gaussian components were used per speech state and 24 Gaussian components per silence state, yielding approximately 8000 components. For adaptive training, transforms were estimated on a per-speaker basis, using a transform type consistent with decoding. Experiments were carried out on two tasks

- **Toshiba in-car task** - a database recorded in real driving conditions with phone numbers, 4 digits, command and control, and citynames subtasks. Each sub task includes two noisy conditions, engine on and highway, and there are a total of 8983 utterances spoken by native speakers with an average of 463 frames per utterance.

- **Multi-accent task** - a database recorded in studio conditions with additional noise. There are approximately 14k utterances split between telephone and TV control, spoken by users with a mixture of accents, with an average of 226 frames per utterance.

A separate transform is estimated for each test set utterance using two regression classes - speech and silence - to limit the number of parameters and allow for rapid adaptation. The baseline hypothesis was used for estimation of all transforms.

4.2. Results and Discussion

Experimental results are given in table 1. The first lines show results obtained for the baseline system without adaptation, and with standard VTLM and CMLLR. VTLM consistently yields small gains, e.g. on the multi-accent set the baseline error rate of 15.90% is improved to 15.44%. Diagonal CMLLR improves over VTLM on both test sets but full CMLLR does not impact on performance, suggesting that one utterance does not give enough data to robustly estimate the parameters.

Next, VTLM was used as a parent transform only when estimating a CMLLR child transform to be used in a cascade. The results show that using VTLM as a parent transform for estimating a diagonal CMLLR transform can give performance gains. For example, on the Toshiba in-car set, the error rates are 2.33% and 1.86% for VTLM and diagonal CMLLR respectively, but 1.79% when cascading VTLM and CMLLR. However, when used as a parent to estimate a full CMLLR transform, very little difference in error rate is seen. The error rates for VTLM and full CMLLR on the Toshiba set are 2.33% and 2.36% respectively, and 2.35% when cascading the two transforms. This suggests that incorporating prior knowledge as parent transform with no prior does not improve the robustness of poorly estimated CMLLR transforms on limited data.

Section 3 discussed combining prior and adaptive statistics for more robust transform estimates. Experiments were carried
out using an identity matrix as prior and also using VTLN as a
dynamic prior with the method described in section 3.1. A full
CMLLR transform was trained for each utterance by combining

Finally, adaptive training was carried out using VTLN, CM-
LLR, and CMLLR with a prior. As expected, gains are seen
from adaptive training using both VTLN and CMLLR. The use
of the VTLN prior in adaptive training yields further improve-
ment in error rate. On the Toshiba in-car set, adaptive training
using VTLN and diagonal CMLLR yielded results of 2.17%
and 1.77% WER respectively, but a further improvement to 1.63%
was seen using the approach proposed in section 3.1. This is
an improvement of 46% relative over the baseline model. Relative improvement on the multi-accent task is 15%.

5. Conclusions
This paper has addressed the problem of producing robust esti-
mates of complex adaptation techniques such as CMLLR from
limited data. A count-smoothing framework was extended to
use dynamic prior statistics, derived from rapid adaptation tech-
niques, are interpolated with the main adaptive statistics. Two
implementations were proposed: direct transform estimation
and cascades of transforms. Using VTLN in the direct trans-
form estimation framework as a prior for estimating CMLLR
transforms was shown to be more robust than standard CMLLR
to small amounts of data, and more robust than using a cascade
of transforms where no prior was used. Where the prior is more
complex than the smoothed transform being estimated a cas-
cade of transforms is more powerful than the direct estimation
approach. In future work the ability to efficiently use a complex
parent transform as a prior to estimate a simpler child transform
will be investigated.

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